# 1. Computability, Complexity and Algorithms

(a) Let G(V, E) be an undirected unweighted graph. Let  $C \subseteq V$  be a vertex cover of G. Argue that  $V \setminus C$  is an independent set of G.

(b) Minimum cardinality vertex cover and maximum cardinality independent set are well known NP-complete problems. Suppose that you have a polynomial time approximation algorithm that, on input an undirected unweighted graph G(V, E), outputs a vertex cover C whose cardinality is at most 2OPT. Is the cardinality of the independent set  $V \setminus C$  a constant factor approximation algorithm for maximum independent set? If yes give a proof, if no give a counter example.

(c) Give a polynomial time algorithm that, on input an undirected unweighted bipartite graph G, outputs a minimum cardinality vertex cover of G.

**Solution:** (a) *C* is a vertex cover of *G*, therefore for every edge  $\{u, v\} \in E$  it is the case that either  $u \in C$ , or  $v \in C$ , or both *u* and *v* belong to *C*. To argue that  $I = V \setminus C$  is an independent set, we need to show that, for every pair of vertices  $u' \in I$  and  $v' \in I$ , it is the case that  $\{u', v'\} \notin E$ . This is obviously true, since if  $\{u', v'\} \in E$ , then either  $u' \in C$ , or  $v' \in C$ , or both, contradicting the assumption that both u' and v' belong to  $I = V \setminus C$ .

(b) The answer is no. Counter example. Suppose G(V, E) is the complete bipartite graph, with  $V = L \cup R$ , |L| = |R| = |V|/2, and all edges having exactly one endpoint in L and the other endpoint in R. Clearly L is a minimum cardinality vertex cover and it has cardinality |V|/2, and R is a maximum cardinality independent set and has cardinality |V|/2. Clearly, a factor 2 approximation algorithm for vertex cover may output  $C = V = L \cup R$  which satisfies  $|C| = |V| \leq 2$ OPT. However, the complement of C is  $I = V \setminus C = \emptyset$ , with |I| = 0, which is not a constant approximation for the cardinality of the minimum independent set |R| = |V|/2. (c) Here is an algorithm:

Input: undirected, unweighted bipartite graph G(V, E) with vertex bipartition classes L and R. Construct the standard flow network corresponding to  $G: G' = (V', E'), s, t, c = 1 \forall e \in E$ .

S is a mincut in G', which can be found in polynomial time using standard maxfow.

Let  $L_1 := L \cap S$ ,  $L_2 := L \setminus S$ ,  $R_1 := R \cap S$ ,  $R_2 = R \setminus S$ .

Let B be the set of vertices in  $R_2$  that have neighbors in  $L_1$ .

 $C := L_2 \cup R_1 \cup B.$ 

output C.

<u>Fact</u> C is a vertex cover of G.

<u>Proof.</u> The set C covers all edges that have one endpoint in either  $L_2$  or  $R_1$ , because C includes all of  $L_2$  and  $R_1$ . All remaining edges must have one endpoint in  $L_1$  and the other endpoint in  $R_2$ . These edges are then clearly covered by B.

<u>Fact</u> G has no vertex cover of cardinality smaller than |C|.

<u>Proof.</u> Let k be the capacity of the cut S. Then  $k = |L_2| + |R_1| + |edged(L_1, R_2)|$ , consequently  $k \ge |L_2| + |R_1| + |B| = |C|$ . But S is a mincut of G', thus k is equal to the mincut of G', which is equal to the maximum cardinality matching of G. This means that G has a matching of size k, and therefore every vertex cover of G must have cardinality at least  $k \ge |C|$ .

### 2. Analysis of Algorithms

**1.** The *exact matching* problem is the following: Given a bipartite graph G = (U, V, E) and an integer  $k \leq n$ , with |U| = |V| = n and with a subset  $E' \subset E$  of the edges colored red, an exact matching is a perfect matching with exactly k red edges. Give randomized polynomial-time algorithms for:

- (a) Testing if G has an exact matching.
- (b) If so find one.

2. Next consider an extension of this problem where two disjoint subsets  $E_1$  and  $E_2$  of edges are colored red and blue, respectively, and two integers  $k_1, k_2$  are specified with  $k_1 + k_2 \leq n$ . Now we seek a perfect matching with  $k_1$  red edges and  $k_2$  blue edges. Repeat the two previous questions for this extended notion.

**Solution:** Let A be the  $n \times n$  adjacency matrix for G. Corresponding to each red edge (i, j), replace A(i, j) by the variable x. Let  $A_x$  be the resulting matrix. Now G has an exact matching iff the permanent of A has the monomial  $cx^k$ , where c > 0. However, permanent is hard to compute. Instead, multiply each entry of  $A_x$  by a randomly and independently picked number in the range  $[0, 2n^2]$  to obtain the matrix A', say.

Compute |A'|. By Schwartz's Lemma, if G has an exact matching, then with high probability, the monomial with  $x^k$  will have a non-zero coefficient and that will be proof of existence of exact matching. If G has no exact matching, then the determinant will not have this monomial. If yes, the exact matching can be found using self-reducibility.

For the second part, in A, replace red edges by variable x and blue edges by variable y and again multiply each entry by a randomly and independently picked number in the range  $[0, 2n^2]$ to obtain the matrix A'', say. Now with high probability in |A''| the monomial  $x^{k_1}y^{k_2}$  will have a non-zero coefficient iff G has an exact matching. If yes, again it can be found via self-reducibility.

#### 3. Theory of Linear Inequalities

For a system  $Ax \leq b$  of m rational linear inequalities and a set  $S \subseteq \{1, \ldots, m\}$  let

$$A_S x = b_S, \ A_{\bar{S}} x \le b_{\bar{S}} \tag{1}$$

denote the system obtained by setting each inequality in S to equality while keeping each inequality in  $\overline{S} = \{1, \ldots, m\} \setminus S$  as an inequality.

Suppose the system (1) has no solution for some specified set S. Then, by Farkas's Lemma, there exists a vector  $(y_S, y_{\bar{S}})$  such that

$$y_{S}^{T}b_{S} + y_{\bar{S}}^{T}b_{\bar{S}} < 0, \ y_{S}^{T}A_{S} + y_{\bar{S}}^{T}A_{\bar{S}} = 0, \ y_{\bar{S}} \ge 0.$$
 (2)

Due to the equality constraints, the vector  $y_S$  might have negative components. Notice, however, that we may scale  $(y_S, y_{\bar{S}})$  so that it satisfies

$$y_{\bar{S}}^T b_{\bar{S}} + y_{\bar{S}}^T b_{\bar{S}} < 0, \ y_{\bar{S}}^T A_{\bar{S}} + y_{\bar{S}}^T A_{\bar{S}} = 0, \ y_{\bar{S}} \ge -1, \ y_{\bar{S}} \ge 0.$$
 (3)

Now (2) necessarily has an integral solution (since it has a rational solution), but (3) may not be solvable in integers. We say that the infeasibility of (1) can be *proven integrally* if (3) does in fact have an integral solution.

Prove the following theorem.

**Theorem 1** Let A be an integral matrix and let b be a rational vector such that  $Ax \leq b$  has at least one solution. Then  $Ax \leq b$  is totally dual integral if and only if

(i) the rows of A form a Hilbert basis

and

(ii) for each subset S of inequalities from  $Ax \leq b$ , if (1) is infeasible, then this can be proven integrally.

### Solution is available upon request.

### 4. Combinatorial Optimization

Recall that a graph G is factor critical if for all  $v \in V(G)$ , G - v has a perfect matching. A near perfect matching is a matching covering all but one vertex of the graph. It is known that every 2-connected factor critical graph G contains pairwise edge-disjoint subgraphs  $G_0, H_1, \ldots, H_k$  satisfying the following. For  $j = 1, \ldots, k$ , let  $G_j = G_0 \cup \bigcup_{i=1}^j H_i$ .

- a.  $G_0$  is an odd cycle and  $G = G_k$ ,
- b.  $H_i$  is an odd length path with both ends in  $G_{i-1}$  and no internal vertex in  $V(G_{i-1})$ . Specifically, the endpoints of  $H_i$  are distinct.

You may use this assertion without proof. Show that every 2-connected factor critical graph G contains at least |E(G)| distinct near perfect matchings.

**Solution.** Let  $G_0, H_1, \ldots, H_k$  be the decomposition we get from the statement of the problem, and let  $G_i = G_0 \cup \bigcup_{i=1}^{i} H_i$ . We will inductively show that  $G_i$  has  $|E(G_i)|$  distinct near perfect matchings for all *i*. As  $G_0$  is an odd cycle, the statement clearly holds for  $G_0$ .

Fix  $l \ge 1$ , let  $m = |E(G_l)|$ , and assume  $G_l$  has m distinct near perfect matchings. Label the matchings  $M_1, \ldots, M_m$ . Let  $H_{l+1}$  be an odd length path with vertices  $v_1, \ldots, v_a$  for some even integer a. For every  $1 \le i \le m$ , the near perfect matching  $M_i$  can be extended to a near perfect matching  $M'_i$  of  $G_{l+1}$  by including alternating edges of the path  $H_{l+1}$ . Note that since  $M_i$  must cover at least one of the endpoints  $v_1$  and  $v_a$  of  $H_{l+1}$ , it is not the case that both the edges  $v_1v_2$ 

and  $v_{a-1}v_a$  are contained in  $M'_i$ . For every  $2 \le i \le a-1$ , we can find a perfect matching (call it  $N_i$ ) of  $H_{l+1} - v_i$  by using alternating edges from the path  $H_{l+1}$  and adding a near perfect matching of  $G_l$  avoiding one of the two endpoints of  $H_{l+1}$ . Note that each  $M'_i$  covers every vertex of  $H_{l+1}$  except possibly one of the endpoints  $v_1$  or  $v_a$ . Thus,  $M'_1, \ldots, M'_m, N_2, \ldots, N_{a-1}$ are  $m + (a-2) = |E(G_{l+1})| - 1$  distinct near perfect matchings of  $G_{l+1}$ .

To complete the proof, we need to find one more near perfect matching in  $G_{l+1}$ . Let  $J_1$  be a near perfect matching in  $G_l$  covering every vertex but  $v_1$ . Let  $J_2$  be a near perfect matching in  $G_l$  covering every vertex except for  $v_a$ . Then  $J_1 \cup J_2$  has components which are even length cycles, single matching edges, and an even length path P from  $v_1$  to  $v_a$ . Let v' be the neighbor of  $v_1$  on P. By taking alternating edges of P which are not incident to any of the three vertices  $v_1, v_a$ , or v', we see that there exists a matching J in  $G_l$  which covers every vertex except  $v_1, v_a$ , and v'. By adding alternating edges of  $H_{l+1}$ , we can extend J to a near perfect matching J' of  $G_{l+1}$  covering every vertex except v'. Note that by construction, the edges  $v_1v_2$  and  $v_av_{a-1}$  are both contained in J'. Thus, J' is distinct from  $M'_1, \ldots, M'_m, N_2, \ldots, N_{a-2}$ , completing the proof.

#### 5. Graph Theory

Prove that for every integer  $k \ge 1$  there exists an integer N such that if the subsets of  $\{1, 2, \ldots, N\}$  are colored using k colors, then there exist disjoint non-empty sets  $X, Y \subseteq \{1, 2, \ldots, N\}$  such that X, Y and  $X \cup Y$  receive the same color.

*Hint.* You may want to consider intervals.

**Solution:** By Ramsey's theorem there exists an integer N such that for every k-coloring of 2element subsets of  $\{1, 2, ..., N+1\}$  there exists a 3-element set  $A \subseteq \{1, 2, ..., N+1\}$  such that all 2-element subsets of A receive the same color. We claim that N satisfies the requirements of the problem. For  $i, j \in \{1, 2, ..., N+1\}$  with i < j we color the set  $\{i, j\}$  using the color of the set  $\{i, i+1, ..., j-1\}$ . By the choice of N there exist  $i, j, k \in \{1, 2, ..., N+1\}$  such that i < j < k and the sets  $\{i, j\}, \{j, k\}$  and  $\{i, k\}$  receive the same color. Then the sets  $X := \{i, i+1, ..., j-1\}$  and  $X := \{j, j+1, ..., k-1\}$  are as desired.

#### 6. Probabilistic methods

A proper list-coloring of a graph G = (V, E) from lists  $\{L_v \subset \mathbb{N} \mid v \in V\}$  is a function  $c : V \to \mathbb{N}$  such that  $c(v) \in L_v$  for all  $v \in V$  and  $c(u) \neq c(v)$  for all  $\{u, v\} \in E$ .

Let r be a natural number. Prove that if for all  $v \in V$  we have  $|L_v| = 10r$  and for all  $j \in L_v$ there are at most r neighbors  $u \in V$  of v such that  $j \in L_u$ , then G admits a proper list-coloring from these lists.

**Solution:** Consider a random list-coloring c of G, where each c(v) is selected from  $L_v$  independently and equiprobably. For an edge  $e = \{u, v\} \in E$  and a color  $j \in L_u \cap L_v$ , let  $E_e^j$  be the event that c(u) = c(v) = j. The event  $E_e^j$  is independent of  $E_f^i$  when e and f are disjoint or when  $j \notin L_{e\cap f}$ , so  $E_e^j$  is only dependent of at most  $d = 2 \cdot (r-1) \cdot 10r$  other events. Since

$$e(d+1)\Pr\left[E_e^j\right] = \frac{e(20r(r-1)+1)}{100r^2} < \frac{e}{5} < 1,$$

by the local lemma,  $\Pr\left[\bigcap_{e,j} \overline{E_e^j}\right] > 0$ , implying that there is a proper list-coloring of G from the given lists.

## 7. Algebra

Two polynomials  $f, g \in R[t]$  over a commutative ring R with identity are called *relatively prime* over R if f and g generate the unit ideal in R[t]. Let  $f, g \in \mathbf{Z}[t]$  be non-constant monic polynomials such that f and g are relatively prime over  $\mathbf{Q}$  and the residues of f and g modulo p are relatively prime over  $\mathbf{Z}/p\mathbf{Z}$  for all prime numbers p. Prove that f and g are relatively prime over  $\mathbf{Z}$ .

**Solution:** Since f and g are relatively prime over  $\mathbf{Q}$ , there exist rational numbers  $\alpha, \beta$  such that  $\alpha f + \beta g = 1$ . Clearing denominators, we find that there exist integers a, b and a positive integer d such that

$$af + bg = d. \tag{4}$$

Without loss of generality, we may assume that d is the *minimal* positive integer for which there is a relation of the form given in (4). We would like to show that d = 1.

Suppose for the sake of contradiction that d > 1, and let p be a prime number dividing d. Then  $\bar{a}\bar{f} + \bar{b}\bar{g} = 0$  in  $(\mathbf{Z}/p\mathbf{Z})[t]$ , which implies that

$$\bar{a}\bar{f} = -\bar{b}\bar{g}.\tag{5}$$

Since  $\mathbf{Z}/p\mathbf{Z}$  is a field,  $(\mathbf{Z}/p\mathbf{Z})[t]$  is a Unique Factorization Domain, and since f, g are monic and non-constant,  $\bar{f}$  and  $\bar{g}$  are not units in  $(\mathbf{Z}/p\mathbf{Z})[t]$ . Thus (5) implies that  $\bar{a} = \bar{b} = 0$ , which means that  $p \mid a$  and  $p \mid b$ . But then  $p \mid d$  as well, and dividing both sides of (4) by p contradicts the minimality of d. Thus d = 1 as claimed, which means that f, g are coprime over  $\mathbf{Z}$ .